

# MATHEMATICS FOR INFORMATION SCIENCE

## NO.3 RECURSIVE FUNCTION

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Slides URL

<https://vu5.sfc.keio.ac.jp/slide/>

# So far

- Computability
  - While program and flow chart are equivalent.
- **Primitive recursive function**
  - $zero : N^0 \rightarrow N$        $zero() = 0$
  - $suc : N \rightarrow N$        $suc(x) = x + 1$
  - $\pi_i^n : N^n \rightarrow N$        $\pi_i^n(x_1, \dots, x_n) = x_i$
  - primitive recursion
    - $f(x_1, \dots, x_n, zero()) = g(x_1, \dots, x_n)$
    - $f(x_1, \dots, x_n, suc(y)) = h(x_1, \dots, x_n, y, f(x_1, \dots, x_n, y))$
  - composition of primitive recursive functions
    - $f(x_1, \dots, x_n) = g(h_1(x_1, \dots, x_n), \dots, h_m(x_1, \dots, x_n))$
- Example of primitive recursive functions:
  - one, pred, add, sub, mul, div, ...

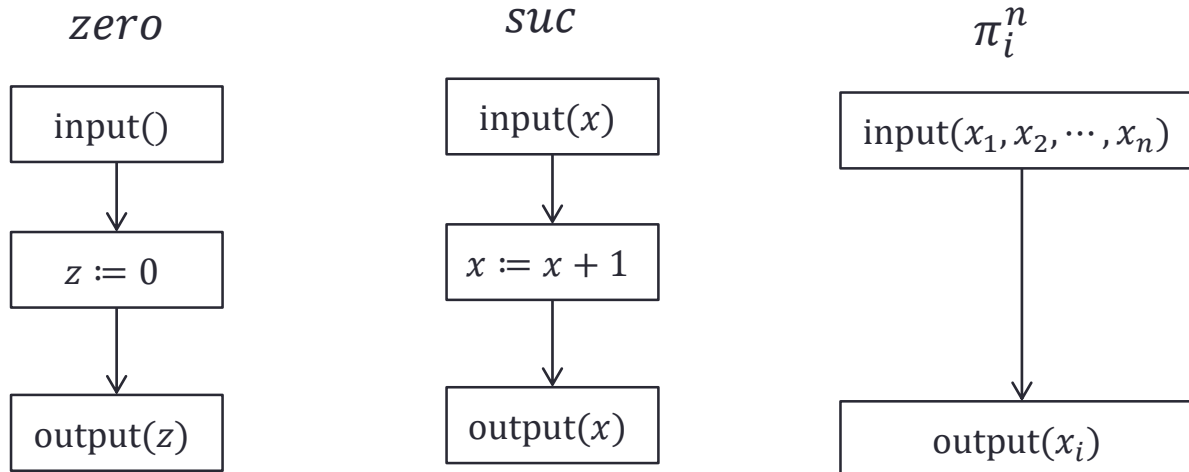
# Compute Primitive Recursive Functions

- **Theorem:**

- Primitive recursive functions are computable.

- **Proof:**

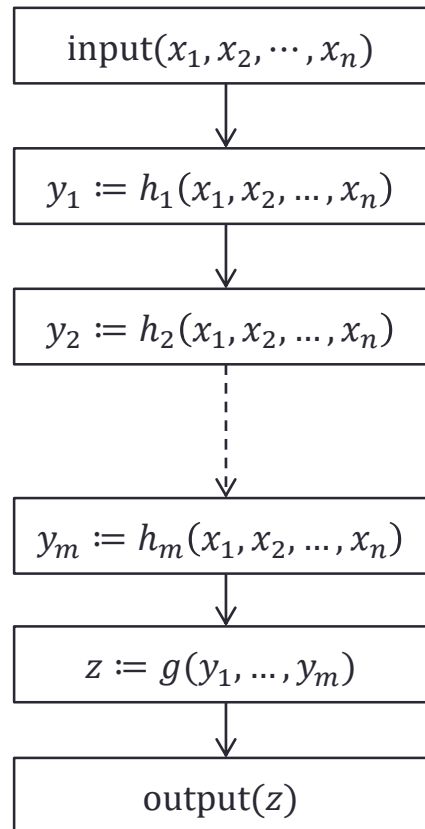
- $zero, suc, \pi_i^n$  are computable.



# Compute Primitive Recursive Functions

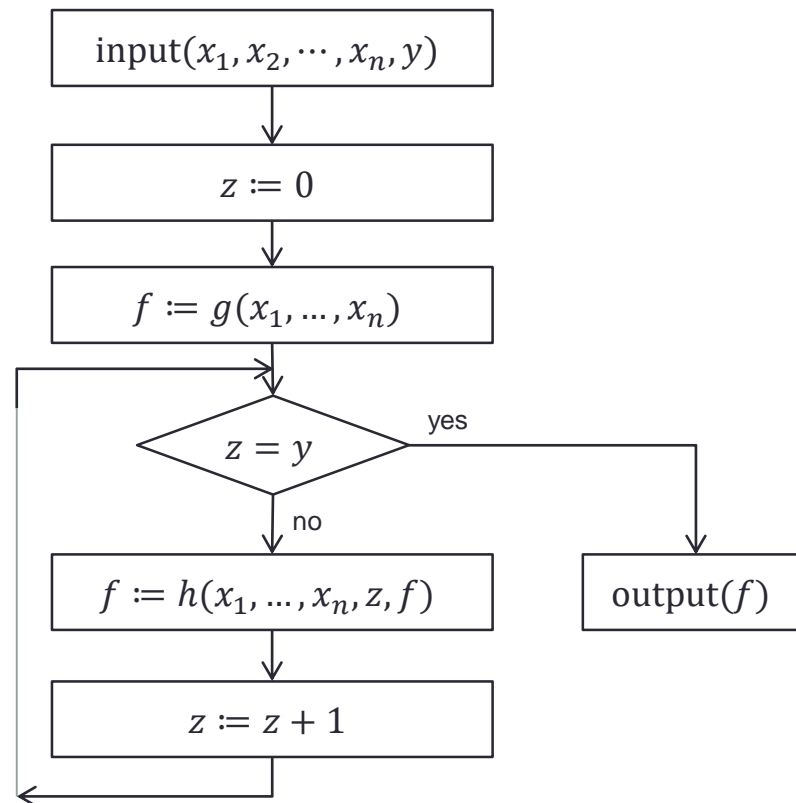
- A composition of primitive recursive functions are computable.

$$f(x_1, x_2, \dots, x_n) = g(h_1(x_1, x_2, \dots, x_n), \dots, h_m(x_1, x_2, \dots, x_n))$$



# Compute Primitive Recursive Functions

- A function defined by a primitive recursion is computable.
  - $f(x_1, \dots, x_n, \text{zero}()) = g(x_1, \dots, x_n)$
  - $f(x_1, \dots, x_n, \text{suc}(y)) = h(x_1, \dots, x_n, y, f(x_1, \dots, x_n, y))$



## Is computable function always primitive recursive?

- Primitive recursive functions are total.
  - **total** = for any input, there is output.
- Computable functions may not be total, but partial.
  - **partial** = for some input, there is no output.
- The set of computable functions is larger than that of primitive recursive functions.
- There is a total function which is not primitive recursive:
  - **Ackerman function**  $A: N^2 \rightarrow N$ 
    - $A(0, y) = \text{suc}(y)$
    - $A(\text{suc}(x), 0) = A(x, \text{suc}(0))$
    - $A(\text{suc}(x), \text{suc}(y)) = A(x, A(\text{suc}(x), y))$

# Minimization Operator

- **Definition:**

- For predicate  $p: N^{n+1} \rightarrow \{\text{True}, \text{False}\}$

$$f(x_1, \dots, x_n) = \min(\{y \mid p(x_1, \dots, x_n, y) \text{ is True}\})$$

- $f(x_1, \dots, x_n)$  gives the smallest  $y$  which makes  $p(x_1, \dots, x_n, y)$  true.
- $f(x_1, \dots, x_n)$  is called **minimization function** of  $p(x_1, \dots, x_n, y)$  and is written as:
 
$$\mu_y(p(x_1, \dots, x_n, y))$$
- $\mu$  is know as **minimization operator**.

- **Example:**

- $f(x) = \mu_y(x = y \times 2)$        $f(2) =$        $f(3) =$
- $g(x) = \mu_y(x = y^2)$        $g(4) =$        $g(5) =$

# Recursive Function

- **Recursive Functions:**

- Primitive recursive functions
- **Minimization** functions for primitive recursive predicates
- Composition of recursive functions
- Functions defined by primitive recursion with recursive functions

- In short, recursive function is:

- primitive recursive function + **minimization operator**



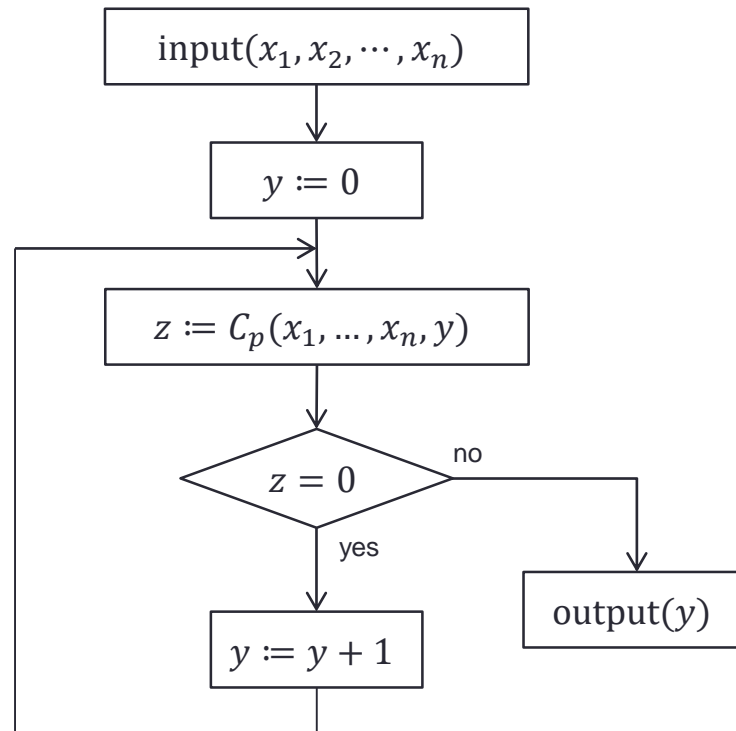
# Recursive $\Rightarrow$ Computable

- **Theorem:** Recursive functions are computable.

- **Proof:**

- Only need to show about the minimization operator.

$$f(x_1, \dots, x_n) = \mu_y(p(x_1, \dots, x_n, y))$$



# Gödel Function

- **Gödel function**  $G: N^n \rightarrow N$  and its inverse functions  $G_1: N \rightarrow N, \dots, G_n: N \rightarrow N$  must satisfy:
  - $G$  is a one-to-one function,
  - $G_i(G(x_1, \dots, x_n)) = x_i$ , and
  - $G, G_1, \dots, G_n$  are primitive recursive.
  
- $G(x_1, \dots, x_n)$  is called **Gödel number** of  $x_1, \dots, x_n$ .
  
- **Example:**
  - $G(x_1, x_2, \dots, x_n) = 2^{x_1} \times 3^{x_2} \times \dots \times p_n^{x_n}$  (where  $p_n$  is the  $n$ th prime number)
  - $G_1(x) = x - \mu_{y < x}(\text{divisible}(x, 2^{x-y}))$
  - $G_2(x) = x - \mu_{y < x}(\text{divisible}(x, 3^{x-y}))$
  - ⋮
  - $G_n(x) = x - \mu_{y < x}(\text{divisible}(x, p_n^{x-y}))$

# Computable $\Rightarrow$ Recursive

- **Theorem:** Computable functions are recursive.

- **Proof:**

- Any while program can be converted into the following format:

```
input( $x_1, \dots, x_n$ );  
 $a := 1$ ;  
while ( $a - k = 0$ ) {  
    if ( $a = 1$ )  $P_1$ ;  
    else if ( $a = 2$ )  $P_2$ ;  
    else if ( $a = 3$ )  $P_3$ ;  
     $\vdots$   
    else if ( $a = k$ )  $P_k$ ;  
}  
output( $y$ )
```

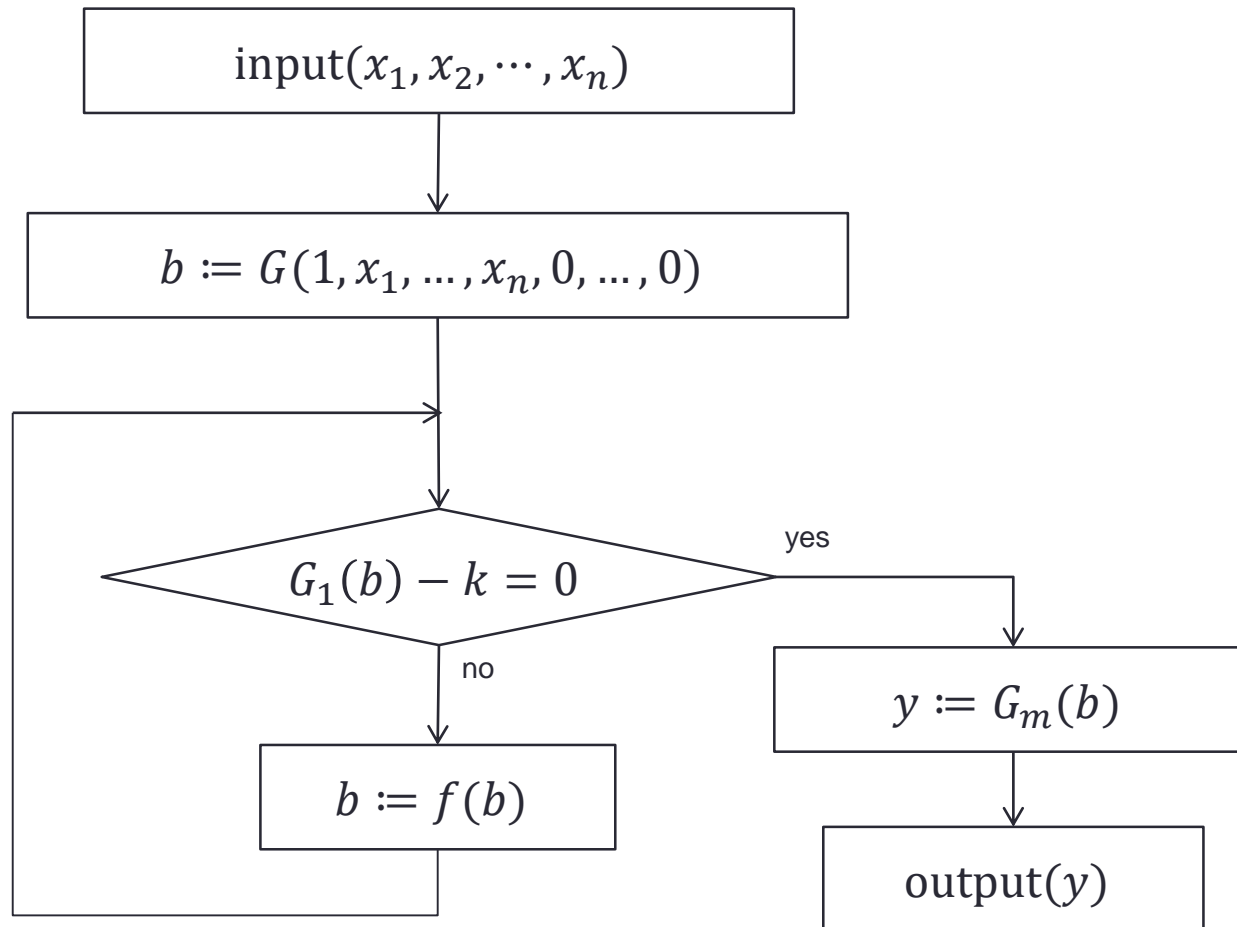
where  $P_i$  is either an assignment or a conditional statement.

# Proof (cont.)

- Let  $a_1, \dots, a_n$  be all the variables in the program.
  - Let  $a_1$  be the box number variable  $a$ .
  - Use  $b = G(a_1, \dots, a_n)$  instead of individual variables.
- If  $P_i$  is an **assignment** statement:  $a_m := f(a_1, \dots, a_n); a := l$ 
  - $b := G(l, G_2(b), \dots, f(G_1(b), \dots, G_n(b)), \dots, G_n(b))$
- If  $P_i$  is a **conditional** statement: if  $(p(a_1, \dots, a_n)) a := l$  else  $a := m$ 
  - $b := G(C_p(G_1(b), \dots, G_n(b)) \times l + (1 - C_p(G_1(b), \dots, G_n(b))) \times m, G_2(b), \dots, G_n(b))$
- $P_i$  can be expressed as a simple assignment statement
  - $b := f_i(b)$
  - where  $f_i$  is a primitive recursive function.
- Combining section of  $P_i$  depending on  $a$  can also be expressed as a single assignment statement:
  - $b := \sum_{i=1}^k C_=(G_1(b), i) \times f_i(b)$

# Proof (cont.)

- The program can be converted into the following:



## Proof (cont.)

- Let  $f^{\#}(b, n) = f\left(f\left(f(\dots f(b))\right)\right)$ 
  - Apply  $f$  to  $b$   $n$  times.
  - Can be defined by primitive recursion:
    - $f^{\#}(b, 0) = b$
    - $f^{\#}(b, \text{succ}(n)) = f\left(f^{\#}(b, n)\right)$
- The loop can be expressed using minimization operator.
  - $h(b) = f^{\#}\left(b, \mu_n\left(G_1\left(f^{\#}(b, n)\right) > k\right)\right)$
- Therefore, the program calculates the following function:
  - $G_m\left(h(G(1, x_1, \dots, x_n, 0, \dots, 0))\right)$
- This is a recursive function. (QED)

# Lemma

Any recursive function can be expressed as

$$f(x_1, \dots, x_n, \mu_y(p(x_1, \dots, x_n, y)))$$

where  $f$  is a primitive recursive function and  $p$  is a primitive recursive predicate.

- only one  $\mu$  is necessary
- others are primitive recursive

# Mathematical Induction

- In order to show  $P(x)$  holds for any natural number  $x$ , show the following two things:
  - (base) It holds for  $x = 0$
  - (induction) Assuming it holds for  $x = n$ , it also holds  $x = \text{suc}(n)$
- This is called mathematical induction.
  - $P(x)$  holds for natural number  $x$  by mathematical induction.

$$\frac{P(0) \quad P(n) \supset P(\text{suc}(n))}{\forall x \in N \quad P(x)}$$



# Show $add(0, x) = x$

Theorem:  $add(0, x) = x$

- Proof:

(base) If  $x = 0$ , from the definition  $add(0, 0) = 0$ . Therefore, it holds.

(induction) Assume it holds for  $x = n$ . Then  $add(0, n) = n$ .

If  $x = suc(n)$ ,

$lhs = add(0, suc(n))$

$= suc(add(0, n))$  ( $\because$  definition of  $add$ )

$= suc(n)$  ( $\because$  assumption)

$= rhs$

Therefore  $add(0, x) = x$  holds for any natural number  $x$ .

# Homework

- Show  $mul(1, x) = x$  by mathematical induction on  $x$ .  
where  $1 = suc(0)$ .
- (base case) Show it for  $x = 0$ .
- (induction) Assuming it holds for  $x = n$ , show it also holds for  $x = suc(n)$ .

# Summary

- Primitive recursive functions:
  - Summation and Product
  - Primitive recursive predicate
  - division is primitive recursive
  - $n$ th prime number is primitive recursive
- Recursive functions:
  - Primitive recursive functions
  - Minimization operator
- Any recursive function is computable.
- Any computable function is recursive.

