

MATHEMATICS FOR INFORMATION SCIENCE  
NO.12 CATEGORY THEORY AND DATA TYPE

---

Tatsuya Hagino

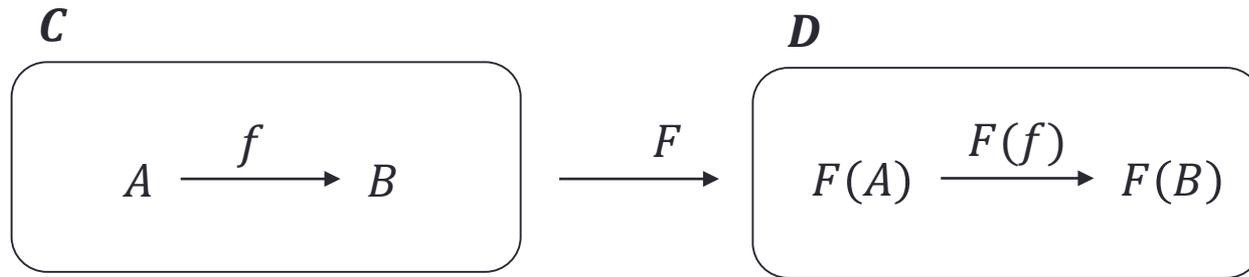
hagino@sfc.keio.ac.jp

Slides URL

<https://vu5.sfc.keio.ac.jp/slide/>

# Functor

- For categories  $\mathbf{C}$  and  $\mathbf{D}$ , a **functor**  $F: \mathbf{C} \rightarrow \mathbf{D}$  is:
  - For any object  $A \in \mathbf{C}$ ,  $F(A) \in \mathbf{D}$ .
  - For any arrow  $f: A \rightarrow B \in \mathbf{C}$ ,  $F(f): F(A) \rightarrow F(B) \in \mathbf{D}$ .



- For any object  $A \in \mathbf{C}$ ,  $F(1_A) = 1_{F(A)}$ .
- For any arrows  $f: A \rightarrow B$  and  $g: B \rightarrow C \in \mathbf{C}$ ,  $F(g \circ f) = F(g) \circ F(f)$ .

$$F(A) \begin{array}{c} \xrightarrow{F(1_A)} \\ \xrightarrow{\quad} \\ \xrightarrow{1_{F(A)}} \end{array} F(A)$$

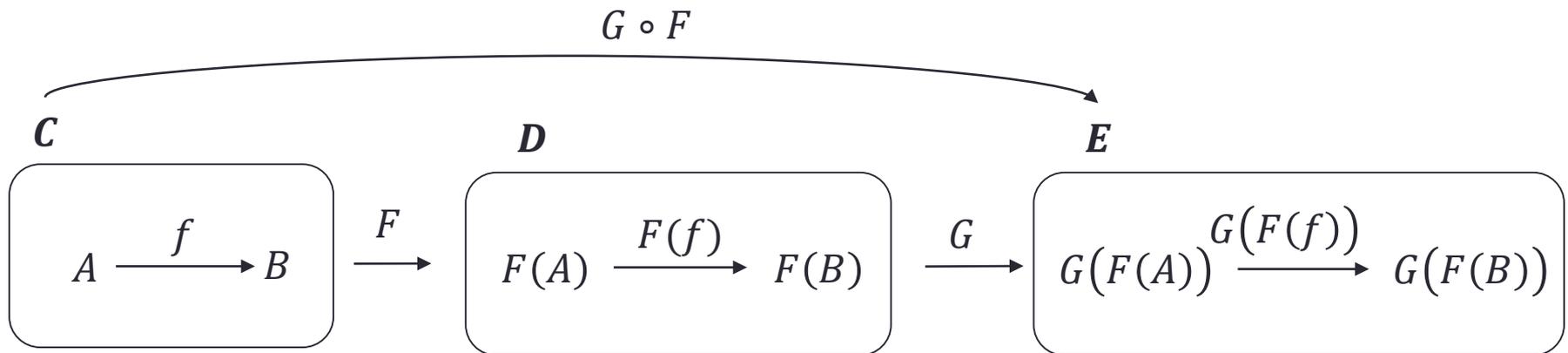
$$\begin{array}{ccc} F(A) & \xrightarrow{F(f)} & F(B) \\ & \searrow F(g \circ f) & \downarrow F(g) \\ & & F(C) \end{array} \quad \text{with } \circlearrowright \text{ between } F(B) \text{ and } F(C)$$

# Examples of Functors

- forgetful functor
    - forget the structure
    - $G: \mathbf{Grp} \rightarrow \mathbf{Set}$ 
      - $G\left(\left(S, \cdot, e, \text{ }^{-1}\right)\right) = S$
      - $G(f) = f$
  - free functor
    - give the structure
    - $F: \mathbf{Set} \rightarrow \mathbf{Grp}$ 
      - $F(S)$  = the free group generated from  $S$
      - $F(f)$  = extend  $f$  to homomorphism
- 
- functor between monoids
    - $F: (M, \cdot, e) \rightarrow (N, \cdot, e)$
    - $F(e) = e$
    - $F(x \cdot y) = F(x) \cdot F(y)$
    - homomorphism between monoids
  - functor between partially ordered sets
    - $F: (D, \sqsubseteq) \rightarrow (E, \sqsubseteq)$
    - If  $x \sqsubseteq y$ , then  $F(x) \sqsubseteq F(y)$
    - monotonic function

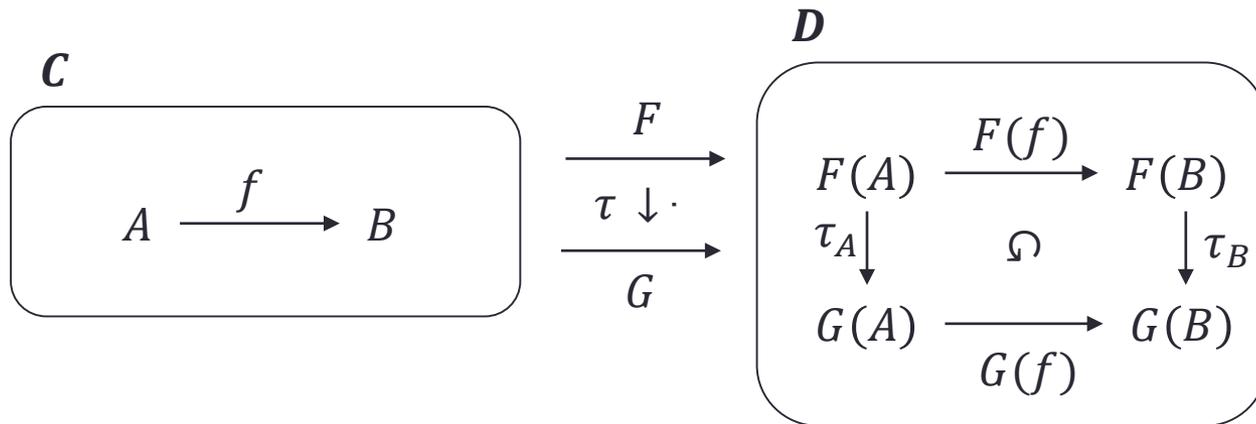
# Composition of Functors

- Composition of functors
  - A functor from  $\mathbf{C}$  to  $\mathbf{D}$ ,  $F: \mathbf{C} \rightarrow \mathbf{D}$
  - A functor from  $\mathbf{D}$  to  $\mathbf{E}$ ,  $G: \mathbf{D} \rightarrow \mathbf{E}$
- $G \circ F: \mathbf{C} \rightarrow \mathbf{E}$ 
  - $G \circ F(A) = G(F(A))$
  - $G \circ F(f) = G(F(f))$



# Natural Transformation

- For functors  $F$  and  $G$  from category  $\mathcal{C}$  to  $\mathcal{D}$ ,  $\tau: F \rightarrow G$  is a **natural transformation** if the followings are met:
  - For any object  $A \in \mathcal{C}$ , there exists an arrow  $\tau_A: F(A) \rightarrow G(A) \in \mathcal{D}$ .
  - For any arrow  $f: A \rightarrow B \in \mathcal{C}$ ,  $\tau_B \circ F(f) = G(f) \circ \tau_A$  holds.



- Category  $\mathcal{D}^{\mathcal{C}}$

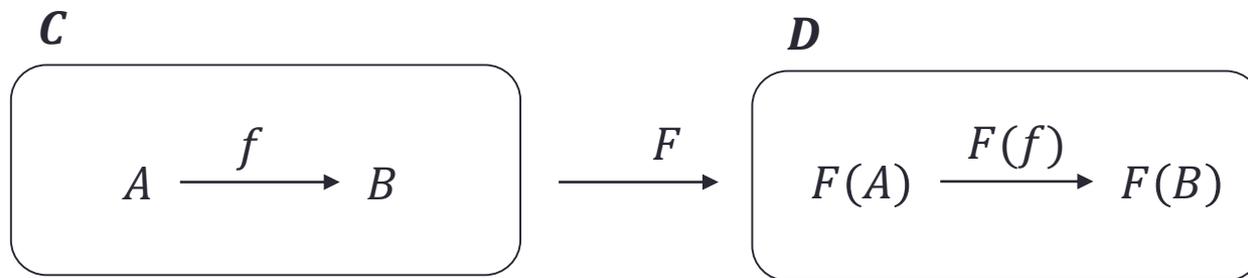
- object: functor from  $\mathcal{C}$  to  $\mathcal{D}$
- arrow: natural transformation

$$\begin{array}{ccc}
 F & & F(A) \xrightarrow{F(f)} F(B) \\
 \tau \downarrow \cdot & \left. \begin{array}{l} \sigma \circ \tau \\ \sigma \downarrow \cdot \end{array} \right\} & \downarrow \tau_A \quad \Omega \quad \downarrow \tau_B \\
 G & & G(A) \xrightarrow{G(f)} G(B) \\
 \sigma \downarrow \cdot & & \downarrow \sigma_A \quad \Omega \quad \downarrow \sigma_B \\
 H & & H(A) \xrightarrow{H(f)} H(B)
 \end{array}$$

# Covariant and Contravariant Functors

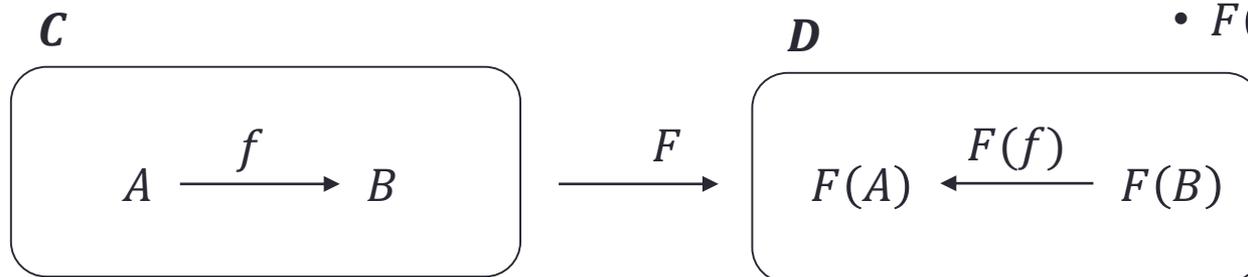
- **covariant** functor

- For any object  $A \in \mathbf{C}$ ,  $F(A) \in \mathbf{D}$
- For any arrow  $f: A \rightarrow B \in \mathbf{C}$ ,  $F(f): F(A) \rightarrow F(B) \in \mathbf{D}$



- **contravariant** functor

- For any object  $A \in \mathbf{C}$ ,  $F(A) \in \mathbf{D}$
- For any arrow  $f: A \rightarrow B \in \mathbf{C}$ ,  $F(f): F(B) \rightarrow F(A) \in \mathbf{D}$

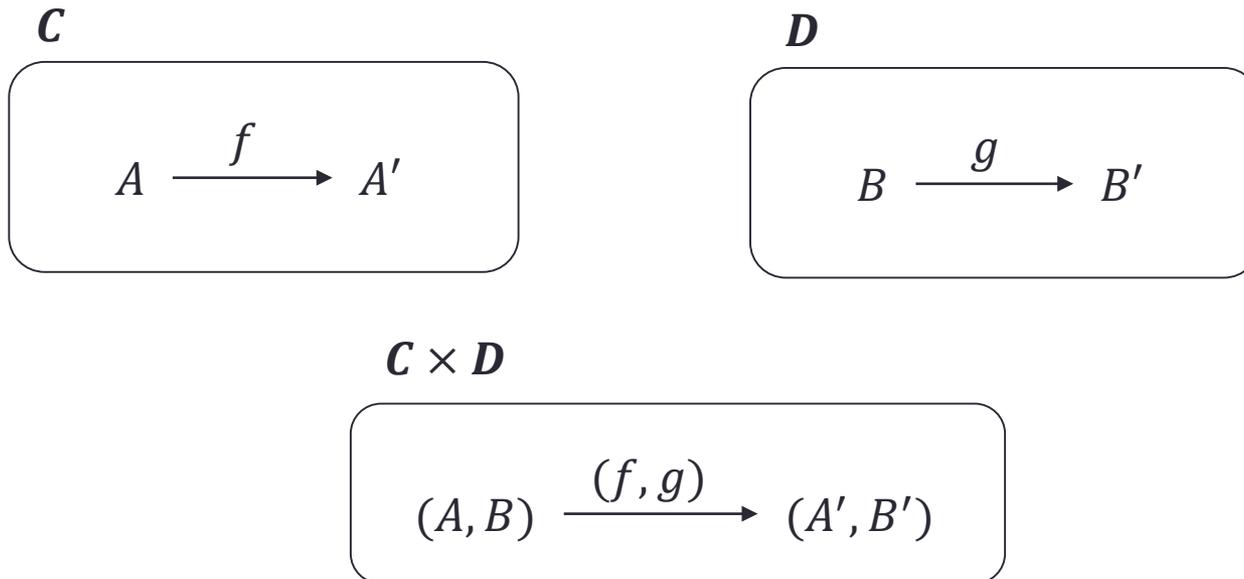


- $F(1_A) = 1_{F(A)}$
- $F(g \circ f) = F(g) \circ F(f)$

- $F(1_A) = 1_{F(A)}$
- $F(g \circ f) = F(f) \circ F(g)$

# Product of Categories

- For category  $\mathbf{C}$  and  $\mathbf{D}$ , the product category  $\mathbf{C} \times \mathbf{D}$  is
  - object: for any objects  $A \in \mathbf{C}$  and  $B \in \mathbf{D}$ ,  $(A, B) \in \mathbf{C} \times \mathbf{D}$
  - arrow: for any arrow  $f: A \rightarrow A' \in \mathbf{C}$  and  $g: B \rightarrow B' \in \mathbf{D}$ ,  $(f, g): (A, B) \rightarrow (A', B') \in \mathbf{C} \times \mathbf{D}$



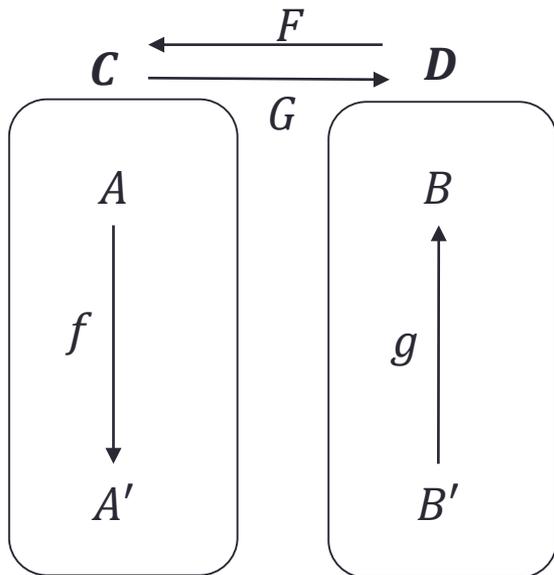
# Hom Functor

- For a category  $\mathcal{C}$ , its **hom functor** is the functor which maps arrows to sets.
- $\text{hom}_{\mathcal{C}}(-, -): \mathcal{C}^{op} \times \mathcal{C} \rightarrow \mathbf{Set}$ 
  - $\text{hom}_{\mathcal{C}}(A, B)$  : the set of arrows from  $A$  to  $B$
  - For  $f: A' \rightarrow A$  and  $g: B \rightarrow B'$ ,  $\text{hom}_{\mathcal{C}}(f, g): \text{hom}_{\mathcal{C}}(A, B) \rightarrow \text{hom}_{\mathcal{C}}(A', B')$  is defined as  $\text{hom}_{\mathcal{C}}(f, g)(h) = g \circ h \circ f$ .

$$\begin{array}{ccc}
 \text{hom}_{\mathcal{C}}(A, B) & \xrightarrow{\text{hom}_{\mathcal{C}}(f, g)} & \text{hom}_{\mathcal{C}}(A', B') \\
 \Downarrow & & \Downarrow \\
 h: A \rightarrow B & & \begin{array}{ccc}
 A' & \dashrightarrow & B' \\
 f \downarrow & & \downarrow g \\
 A & \xrightarrow{h} & B
 \end{array}
 \end{array}$$

# Adjunction

- An **adjunction** from category  $\mathcal{C}$  to  $\mathcal{D}$  is:
  - **left adjoint** functor  $F: \mathcal{D} \rightarrow \mathcal{C}$
  - **right adjoint** functor  $G: \mathcal{C} \rightarrow \mathcal{D}$
  - For  $A \in \mathcal{C}$  and  $B \in \mathcal{D}$ ,  $\text{hom}_{\mathcal{C}}(F(B), A) \cong \text{hom}_{\mathcal{D}}(B, G(A))$  is naturally isomorphic.
  - Adjunction  $F \dashv G$



$$\text{hom}_{\mathcal{C}}(F(-), -) \simeq \text{hom}_{\mathcal{D}}(-, G(-)) : \mathcal{D}^{op} \times \mathcal{C} \rightarrow \mathbf{Set}$$

$$\begin{array}{ccc}
 \text{hom}_{\mathcal{C}}(F(B), A) & \xleftarrow{\cong} & \text{hom}_{\mathcal{D}}(B, G(A)) \\
 \downarrow \text{hom}_{\mathcal{C}}(F(g), f) & \circlearrowleft & \downarrow \text{hom}_{\mathcal{D}}(g, G(f)) \\
 \text{hom}_{\mathcal{C}}(F(B'), A') & \xleftarrow{\cong} & \text{hom}_{\mathcal{D}}(B', G(A'))
 \end{array}$$

# Everything is Adjunction

- Let  $\Delta: \mathcal{C} \rightarrow \mathcal{C} \times \mathcal{C}$  be the diagonal functor  $\Delta(A) = (A, A)$ 
  - $+ \dashv \Delta \dashv \times$
  - Co-product is the left adjoint functor of  $\Delta$
  - Product is the right adjoint functor of  $\Delta$
- Let  $! : \mathcal{C} \rightarrow \cdot$  be the functor  $!(A) = \cdot$ 

where  $\cdot$  is the category which has only one object and one identity arrow.

  - Initial object is the left adjoint functor of  $!$
  - Final object is the right adjoint of  $!$
- Let  $\mathcal{D}$  be a subcategory of  $\mathcal{C}$ , and  $\Delta: \mathcal{C} \rightarrow \mathcal{C}^{\mathcal{D}}$  be  $\Delta(A)(B) = (A)$ ,  $\Delta(A)(f) = 1_A$ 
  - Co-limit  $\lim_{\rightarrow} \mathcal{D}$  is the left adjoint functor of  $\Delta$
  - Limit  $\lim_{\leftarrow} \mathcal{D}$  is the right adjoint functor of  $\Delta$
- The right adjoint functor of  $(-)\times A: \mathcal{C} \rightarrow \mathcal{C}$  is the function space  $(-)^A: \mathcal{C} \rightarrow \mathcal{C}$ 
  - $\text{hom}_{\mathcal{C}}(\mathcal{C} \times A, B) \simeq \text{hom}_{\mathcal{C}}(\mathcal{C}, B^A)$

$$\begin{array}{ccc}
 & B^A & \\
 & \uparrow \text{curry}(f) & \\
 & \mathcal{C} & \\
 & & \text{curry}(f) \times 1_A \xrightarrow{\quad \circlearrowleft \quad} B^A \times A \xrightarrow{\quad ev \quad} B \\
 & & \uparrow \text{curry}(f) \quad \nearrow f \\
 & & \mathcal{C} \times A
 \end{array}$$

# Monad

- For a category  $\mathcal{C}$ , a **monad** consists of a functor  $T: \mathcal{C} \rightarrow \mathcal{C}$  and two natural transformations  $\eta: 1_{\mathcal{C}} \rightarrow T$  and  $\mu: T^2 \rightarrow T$  which satisfies the followings:
  - $\mu \circ T\mu = \mu \circ \mu T$
  - $\mu \circ T\eta = \mu \circ \eta T = 1_T$

associative law for monoid

$$\begin{array}{ccc}
 T^3 & \xrightarrow{T\mu} & T^2 \\
 \mu T \downarrow & \circlearrowleft & \downarrow \mu \\
 T^2 & \xrightarrow{\mu} & T
 \end{array}$$

$$\begin{array}{ccc}
 T(T(T(A))) & \xrightarrow{T(\mu_A)} & T(T(A)) \\
 \mu_{T(A)} \downarrow & \circlearrowleft & \downarrow \mu_A \\
 T(T(A)) & \xrightarrow{\mu_A} & T(A)
 \end{array}$$

$$\begin{array}{ccc}
 T & \xrightarrow{\eta T} & T^2 \\
 T\eta \downarrow & \circlearrowleft & \downarrow \mu \\
 T^2 & \xrightarrow{\mu} & T
 \end{array}$$

$$\begin{array}{ccc}
 T(A) & \xrightarrow{\eta_{T(A)}} & T(T(A)) \\
 T(\eta_A) \downarrow & \circlearrowleft & \downarrow \mu_A \\
 T(T(A)) & \xrightarrow{\mu_A} & T(A)
 \end{array}$$

unit law for monoid

- When  $F \dashv G$ ,  $T = G \circ F$  is a monad.

# Haskell Monad

```
class Monad m where
  (>>=)  :: m a -> (a -> m b) -> m b
  return :: a -> m a
```

- Instances of Monad class are monad.
  - Need to implement two functions.
  - (>>=) is called **bind**
- The two functions need to satisfy the following equations.
  - **Monad laws**

```
1. (return x) >>= f    = f x
2. m >>= return       = m
3. (m >>= f) >>= g    = m >>= (\x -> f x >>= g)
```

# Haskell Monad and Category Monad

```
class Monad m where
```

```
(>>=) :: m a -> (a -> m b) -> m b
```

```
return :: a -> m a
```

```
1. (return x) >>= f = f x
```

```
2. m >>= return = m
```

```
3. (m >>= f) >>= g = m >>= (\x -> f x >>= g)
```

For a category  $\mathcal{C}$ , a monad consists of a functor  $T:\mathcal{C}\rightarrow\mathcal{C}$  and two natural transformations  $\eta:1_{\mathcal{C}}\rightarrow T$  and  $\mu:T^2\rightarrow T$  which satisfies the followings:

- $\mu \circ T\mu = \mu \circ \mu T$
- $\mu \circ T\eta = \mu \circ \eta T = 1_T$

• **return :: a -> m a** is

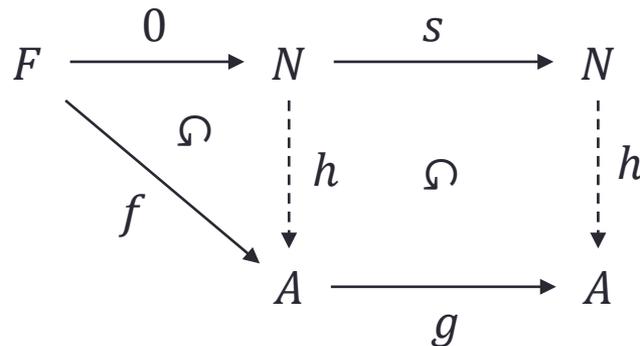
- $\eta_A:A \rightarrow T(A)$

• **(>>=) :: m a -> (a -> m b) -> m b** is

- Given  $f:A \rightarrow T(B)$ ,  $T(f)$  is  $T(A) \rightarrow T(T(B))$ , so combine this with  $\mu_B:T(T(B)) \rightarrow T(B)$  gives  $\mu_B \circ T(f):T(A) \rightarrow T(B)$ .
- $(A \rightarrow T(B)) \rightarrow (T(A) \rightarrow T(B)) \equiv T(A) \rightarrow (A \rightarrow T(B)) \rightarrow T(B)$

# Natural Number Object

- $N$  is a **Natural Number Object** when
  - There are two arrows.
    - $0: F \rightarrow N$
    - $s: N \rightarrow N$
 where  $F$  is the final object.
  - For  $f: F \rightarrow A$  and  $g: A \rightarrow A$ , there exists a unique arrow  $h: N \rightarrow A$  such that
    - $h \circ 0 = f$
    - $h \circ s = g \circ h$



- Let us write  $pr(f, g)$  for  $h$ .



# Multiplication Arrow

- Definition of  $mult: N \times N \rightarrow N$ 
  - Define carried  $mult': N \rightarrow N^N$  using  $pr$  and  $add$ .

$$\begin{array}{ccccc}
 F & \xrightarrow{0} & N & \xrightarrow{s} & N \\
 \searrow \text{curry}(0 \circ !) & \Omega & \downarrow mult' & \Omega & \downarrow mult' \\
 & & N^N & \xrightarrow{\text{curry}(add \circ \text{pair}(ev, \pi_2))} & N^N
 \end{array}$$

- $0 \circ !: F \times N \rightarrow N$  carried to  $\text{curry}(0 \circ !): F \rightarrow N^N$

$$F \times N \xrightarrow{!} F \xrightarrow{0} N$$

- $add \circ \text{pair}(ev, \pi_2): N^N \times N \rightarrow N$  carried to  $\text{curry}(add \circ \text{pair}(ev, \pi_2)): N^N \rightarrow N^N$

$$\begin{array}{ccccc}
 & ev & \rightarrow & N & \leftarrow \pi_1 \\
 N^N \times N & \xrightarrow{\text{pair}} & N \times N & \xrightarrow{add} & N \\
 & \pi_2 & \rightarrow & N & \leftarrow \pi_2
 \end{array}$$

- $mult' = pr(\text{curry}(0 \circ !), \text{curry}(add \circ \text{pair}(ev, \pi_2)))$
- $mult = ev \circ \text{pair}(pr(\text{curry}(0 \circ !), \text{curry}(add \circ \text{pair}(ev, \pi_2))) \circ \pi_1, \pi_2)$

# Summary

- Functor
- Adjunction
  - Right adjoint functor and left adjoint functor
  - Diagonal functor and product and co-product
  - Limit and Adjoint
  - Monad
- Natural Number Object